

BENEFICIAL OWNER'S NAME
Address

DETAILS OF APPLICANT'S/BENEFICIAL OWNER'S BUSINESS RECORD

1	State all the former trading names (if any) used by the Beneficial Owner during the last 7 years. Please indicate the dates of the change of names.		
2.	Please specify those countries or territories in which the Beneficial Owner intends to carry out financial services business to which this license applies.		
3.	Does the Beneficial Owner conduct or carry out financial services business from any jurisdiction other than Mauritius? If yes, please provide the address from which such financial services business is carried out	Yes	No
4.	Is the Beneficial Owner regulated in another jurisdiction or has the Beneficial Owner applied for a license from any regulatory authority in any other jurisdiction? If yes, please provide details	Yes	No
5.	Has the Beneficial Owner made a similar application in another jurisdiction? If yes, please provide details and outcome	Yes	No
6.	Has the Beneficial Owner at any time in the previous 7 years been criticized, censured, disciplined, suspended, or fined by any regulatory body in Mauritius or by any regulatory body in any jurisdiction? If yes, please supply details	Yes	No
7.	At any time in the previous 7 years, has an application been made for the bankruptcy or compulsory winding up of the Beneficial Owner or has the Beneficial Owner's property been seized or Applicant's property been seized or forfeited or relinquished by any other similar means? If yes, please supply details	Yes	No
8.	Has the Beneficial Owner at any time in the previous years had a Receiver or an Administrator appointed or failed to satisfy a debt adjudged due, or come to a compromise or similar arrangement with any of its creditors? If yes, please supply details	Yes	No
9.	Has the Beneficial Owner been engaged in any civil proceedings or arbitration at any time in the previous 7 years in which a debt was adjudged due from, or judgment given against, the Applicant in relation to any financial service? If yes, please supply details	Yes	No
10.	Has the Beneficial Owner at any time been convicted of any offence involving fraud, or other dishonesty, or any other offence such as an economic offence or money laundering or been subject to penalties for tax evasion (whether or not in Mauritius) relating to companies carrying out financial services business? If yes, please supply details.	Yes	No
11.	Has any non Resident Director, Officer or Partner of the proposed company been convicted in any Court of Law for a criminal offence or penalized or sanctioned, or is currently or has ever been under investigation for professional negligence or malpractice by any Regulatory Authority in any country?	Yes	No

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	If yes, please supply details.		
	Signature of Beneficial Owner:	Date	